APPLICATION FOR PRODUCE SAFETY RULE EXEMPTION/ EXCLUSION

Requirements for Exclusion:

*One of the following requirements must be met.*

A. Only grow produce that is rarely consumed raw, specifically the produce on the following exhaustive list: asparagus, black beans, great Northern beans, kidney beans, lima beans, navy beans, pinto beans, beets, garden (roots and tops) beets, sugar beets, cashews, sour cherries, chickpeas, cocoa beans, coffee beans, collards, sweet corn, cranberries, dates, dill (seeds and weed), eggplants, figs, ginger, hazelnuts, horseradish, lentils, okra, peanuts, pecans, peppermint, potatoes, pumpkins, mature southern field peas (such as black-eyed peas, cowpeas, crowder peas, purple hull peas, sea island peas, silver peas, and speckled peas), winter squash, sweet potatoes, and water chestnuts.

B. Produce grown is used only for personal consumption or produced for consumption on the farm or another farm under the same management.

C. During the previous 3-year period your average of all produce sales was less than $25,000 (on a rolling basis) adjusted for inflation using 2011 as the baseline year for calculating the adjustment.

Requirements for Processing Exemption:

*As required in the rule, other requirements must be met to justify this exemption.*

Produce grown receives commercial processing that adequately reduces the presence of microorganisms of public health significance.

Requirements for Qualified Exemption:

*Both of the following requirements must be met.*

A. During the previous 3-year period, annual food* sales are less than $500,000 adjusted for inflation, and the amount of sales sold to qualified end-users exceeded the amount sold to all other buyers.

*The term "food" means (1) articles used for food or drink for man or other animals, (2) chewing gum, and (3) articles used for components of any such article.

AND

B. You sell more than 50% of your annual food sales to qualified end-users (consumer of the food and/or a restaurant or retail food establishment) located in Rhode Island or within the same Indian Reservation or within 275 miles of your farm.
APPLICATION FOR PRODUCE SAFETY RULE EXEMPTION/ EXCLUSION

Name of Applicant___________________________________Phone:________________Email:________________

Mailing Address:_______________________________________________________________
Street       City       State       Zip Code

Name of Farm:_________________________________________Phone:_____________________

Farm Address:_______________________________________________________________
Street       City       State       Zip Code       County

Application Type:  [ ] Exclusion **  [ ] Processing Exemption  [ ] Qualified Exemption

**If selecting Exclusion, please circle one of the following categories (see previous page for definition):  A     B     C

Pursuant to 250-40-00-2, Rhode Island Code of Regulations, 2019, Amended June 22, 2020, above named Applicant certifies compliance with requirements for exemption of inspections and regulations required under the Produce Safety Rule. Details of such exemptions are listed on page one of this application.

By signing below, I certify that I am the owner/person responsible for the treatment area, or that I am an authorized representative of said owner/responsible party, and that I have read and understand the Rhode Island Produce Safety Regulation, 250-RICR-40-00-2, the related Rules and Regulations Pertaining to Produce Safety on farms, Produce Farm Registration and Exemptions and Exclusions under the Produce Safety Rule. I further certify that all information provided in this application is true and accurate to the best of my knowledge as of the date of filing this application.

Produce farms must apply annually to qualify for exemption.

Applicant’s Signature :_____________________ Date:___________
Applicants Title:_________________________________________

For official use only

____ Paperwork reviewed and in compliance
____ Exemption Certificate No.____________________________

Send application to: Ananda Fraser  
Email: ananda.fraser@dem.ri.gov  
235 Promenade St  
Phone: (401) 222-2781  
Providance, RI 02908
# 1. Agricultural Operation Information

<table>
<thead>
<tr>
<th>Operation Name</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Point of Contact</td>
<td></td>
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<tr>
<td>First, Last Name</td>
<td></td>
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<tr>
<td>Role</td>
<td>Email</td>
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<td></td>
<td></td>
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<tr>
<td>Operation Phone</td>
<td>Cell Phone</td>
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<tr>
<td>Legal Structure:</td>
<td></td>
</tr>
<tr>
<td>- Sole Proprietor</td>
<td>Corporation</td>
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<tr>
<td>- Corporation</td>
<td>Partnership</td>
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<td>- Partnership</td>
<td>LLC</td>
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<tr>
<td>- Other</td>
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<tr>
<td>Site Address:</td>
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<tr>
<td>City, State, Zip:</td>
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<td></td>
<td>Mailing address same as above</td>
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<td>Mailing Address</td>
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<td>City, State, Zip</td>
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<td>County</td>
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<td>- Washington</td>
<td>Bristol</td>
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<td>- Bristol</td>
<td>Newport</td>
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<tr>
<td>Have you participated in a PSA Grower training course?</td>
<td>Yes ❏ No ❏</td>
</tr>
<tr>
<td>Has your farm had a third party audit?</td>
<td>Yes ❏ No ❏</td>
</tr>
<tr>
<td>If yes, who conducted your third party audit?</td>
<td></td>
</tr>
</tbody>
</table>

# 2. Marketing

| Annual produce sales averaged over the previous 3 years | ≤ $25,000 ❏ $25,001 - $250,000 ❏ $250,001 - $500,000 ❏ $500,000+ ❏ |
| Annual total food sales (including animal feed) averaged over the previous 3 years | Less than $500,000 ❏ $500,000+ ❏ |
| Do you buy produce from another farm? | Yes ❏ No ❏ |
| How is your produce marketed? | Wholesale ❏ Direct Market ❏ Both |
| Are more than 50% of sales either (1) Direct to Consumer or (2) Retail or Restaurant? | Yes ❏ No ❏ |
| If yes, are most of the sales within Rhode Island? | Yes ❏ No ❏ |
3. Agricultural Operation Details

Please check all commodities your operation grows:

- □ Apples
- □ Apricots
- □ Apriums
- □ Artichoke
- □ Basil
- □ Blackberries
- □ Blueberries
- □ Bok Choy
- □ Boysenberries
- □ Fava Beans
- □ Broccoli
- □ Brussel Sprouts
- □ Burdock
- □ Chinese Cabbage
- □ Cabbages
- □ Cantaloupe
- □ Carrots
- □ Cauliflower
- □ Celeriac
- □ Celery
- □ Sweet Cherries
- □ Chestnuts
- □ Chicory
- □ Chives
- □ Cilantro
- □ Cucumber
- □ Berry currant
- □ Dandelion
- □ Endive
- □ Fennel
- □ Garlic
- □ Gooseberries
- □ Grapes
- □ Green beans
- □ Honeydew
- □ Huckleberries
- □ Kale
- □ Leek
- □ Lemons, Limes
- □ Lettuce
- □ Marjoram
- □ Melon
- □ Mulberries
- □ Microgreens
- □ Mung beans
- □ Mushroom
- □ Mustard greens
- □ Nectarine
- □ Onions
- □ Orange
- □ Oregano
- □ Parsley
- □ Parsnips
- □ Peas/snow peas
- □ Peaches
- □ Pears
- □ Peppers/hot, sweet
- □ Plums
- □ Quince
- □ Radicchio
- □ Radish
- □ Raspberries
- □ Rhubarb
- □ Rosemary
- □ Rutabaga
- □ Turnips
- □ Sage
- □ Scallions
- □ Shallot
- □ Spinach
- □ Sprouts
- □ Strawberries
- □ Summer squashes
- □ Swiss chard
- □ Sweet Potatoes
- □ Thyme
- □ Tomatoes
- □ Turnip greens
- □ Watercress
- □ Watermelon

Please list any other fruits, vegetables, herbs and/or nuts you grow that are not listed above:

Acreage:

- □ Owned
- □ Leased
- □ Production

Irrigation type:

- □ Overhead
- □ Drip
- □ N/A

Irrigation water source:

- □ Surface
- □ Ground
- □ Municipal
- □ N/A

Post-harvest water source:

- □ Surface
- □ Ground
- □ Municipal
- □ N/A

Pump type:

- □ Portable
- □ Permanent/Fixed
- □ N/A

Type of soil amendments used:

- □ Raw Manure
- □ Animal Based Compost
- □ Biosolids
- □ Ag Tea
- □ N/A

Activity Type(s):

- □ Growing
- □ Harvesting
- □ Packing
- □ Holding
- □ Processing

Are you in production year round?

- □ Yes
- □ No

Do you have a greenhouse?

- □ Yes
- □ No

Do you have livestock on your operation?

- □ Yes
- □ No

Season start date: ___________  Season end date: ___________
4. For Official Use Only: To Be Completed by Produce Safety Team

Unique Produce ID: ___________________________ State Unique ID: ___________________________

Data Verification Method
☐ Phone ☐ Technical Assistance ☐ Pre-audit ☐ Inspection ☐ Email ☐ Visit

External Data Source (if applicable) ___________________________

Industry Code: ___________________________

Based on the information provided, is this operation: ☐ Covered ☐ Exempt ☐ Qualified Exempt

Compliance Dates

Sprouts
☐ 01/26/2017 ☐ 01/26/2018 ☐ 01/28/2019

Most Produce
☐ 01/26/2018 ☐ 01/26/2019 ☐ 01/27/2020

Water
☐ 01/27/2020 ☐ 01/26/2021 ☐ 01/26/2022

Qualified Exempt Labeling
☐ 01/01/2020

Qualified Exempt Retention of Records
☐ 01/26/2016

Notes

Produce Safety Team Member:

Print Name ___________________________ Date ___________________________

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